

# Generalized quantum affine Schur-Weyl duality & categorical equivalence

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## O. Introduction

- Schur-Weyl duality (Schur, early 20th century)

$$\mathfrak{sl}_n := \mathfrak{sl}_n(\mathbb{C}) = \{X \in \text{Mat}_n(\mathbb{C}) \mid \text{tr} X = 0\} \quad ([X, Y] = XY - YX)$$

$$\mathfrak{sl}_n \curvearrowright V := \mathbb{C}^n \curvearrowright \mathfrak{sl}_n \curvearrowright V^{\otimes d} \quad (X(v_1 \otimes \cdots \otimes v_d) = \sum_{i=1}^d v_i \otimes \cdots \otimes Xv_i \otimes \cdots \otimes v_d)$$

*commute*  $(d \in \mathbb{Z}_{>0})$

$$\rightsquigarrow \mathfrak{sl}_n \curvearrowright V^{\otimes d} \curvearrowright \mathfrak{S}_d \text{ (symmetric group)}$$

In other words,  $V^{\otimes d}$  is an  $(\mathfrak{sl}_n, \mathfrak{S}_d)$ -bimod.

In many cases, it is more useful to consider  
bimod. over associative alg!

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In other words,  $V^{\otimes d}$  is an  $(\mathfrak{sl}_n, \mathfrak{S}_d)$ -bimod.

In many cases, it is more useful to consider

bimod. over associative alg!

$\mathfrak{S}_d \curvearrowright \mathbb{C}[\mathfrak{S}_d]$  (group alg.) ( $M : \mathfrak{S}_d\text{-mod} \Leftrightarrow M : \mathbb{C}[\mathfrak{S}_d]\text{-mod}$ )

$\mathfrak{sl}_n \curvearrowright U(\mathfrak{sl}_n)$  (universal enveloping alg.)

$$:= T(\mathfrak{sl}_n) / \langle X \otimes Y - Y \otimes X - [X, Y] \mid X, Y \in \mathfrak{sl}_n \rangle \quad (M : \mathfrak{sl}_n\text{-mod} \Leftrightarrow M : U(\mathfrak{sl}_n)\text{-mod.})$$

tensor alg.  $T(\mathfrak{sl}_n) = \bigoplus \mathfrak{sl}_n^{\otimes i}$

Then  $V^{\otimes d}$  is an  $(U(\mathfrak{sl}_n), \mathbb{C}[\mathfrak{S}_d])$ -bimod.

$$\rightsquigarrow F : \mathbb{C}[\mathfrak{S}_d]\text{-fmod} \ni M \mapsto F(M) := V^{\otimes d} \underset{\mathbb{C}[\mathfrak{S}_d]}{\otimes} M$$

(cat. of f.d. mod.)

$U(\mathfrak{sl}_n)\text{-fmod}$

$\mathfrak{S}_d \rightsquigarrow \mathbb{C}[\mathfrak{S}_d]$  (group alg.) ( $M: \mathfrak{S}_d\text{-mod} \Leftrightarrow M: \mathbb{C}[\mathfrak{S}_d]\text{-mod}$ )

$\mathfrak{sl}_n \rightsquigarrow U(\mathfrak{sl}_n)$  (universal enveloping alg.)

$$:= T(\mathfrak{sl}_n) / \langle XY - YX - [X, Y] \mid X, Y \in \mathfrak{sl}_n \rangle \quad (M: \mathfrak{sl}_n\text{-mod})$$

↑  $\Leftrightarrow M: U(\mathfrak{sl}_n)\text{-mod.}$

tensor alg.  $T(\mathfrak{sl}_n) = \bigoplus_i \mathfrak{sl}_n^{\otimes i}$

Then  $V^{\otimes d}$  is an  $(U(\mathfrak{sl}_n), \mathbb{C}[\mathfrak{S}_d])$ -bimod.

$$\rightsquigarrow F: \mathbb{C}[\mathfrak{S}_d]\text{-fmod} \ni M \mapsto F(M) := V^{\otimes d} \underset{\mathbb{C}[\mathfrak{S}_d]}{\otimes} M$$

(cat. of f.d. mod.)

$U(\mathfrak{sl}_n)\text{-fmod}$

Thm Assume  $n \geq d$ .

1. For  $d_1, d_2$  s.t.  $d = d_1 + d_2$  and  $M \in \mathbb{C}[\mathfrak{S}_{d_1}]\text{-fmod}$ ,

$N \in \mathbb{C}[\mathfrak{S}_{d_2}]\text{-fmod}$ , if we set

$$M \circ N := \mathbb{C}[\mathfrak{S}_d] \underset{\mathbb{C}[\mathfrak{S}_{d_1}] \otimes \mathbb{C}[\mathfrak{S}_{d_2}]}{\otimes} (M \otimes N),$$

then  $F(M \circ N) \cong F(M) \otimes F(N)$ .

2. Set  $E := \text{End}_{\mathbb{C}[\mathfrak{S}_d]}(V^{\otimes d})$ . Then the canonical

alg. hom  $U(\mathfrak{sl}_n) \rightarrow E$  is Surjective

3.  $F$  gives an equiv.

$$\mathbb{C}[\mathfrak{S}_d]\text{-fmod} \xrightarrow{\sim} E\text{-fmod} \subseteq U(\mathfrak{sl}_n)\text{-fmod}$$

full sub

Thm Assume  $n \geq d$ .

1. For  $d_1, d_2$  s.t.  $d = d_1 + d_2$  and  $M \in \mathbb{C}[[\zeta_{d_1}]]\text{-fmod}$ ,

$N \in \mathbb{C}[[\zeta_{d_2}]]\text{-fmod}$ , if we set

$$M \circ N := \mathbb{C}[[\zeta_d]] \otimes_{\mathbb{C}[[\zeta_{d_1}]] \otimes \mathbb{C}[[\zeta_{d_2}]}} (M \otimes N),$$

then  $F(M \circ N) \cong F(M) \otimes F(N)$ .

2. Set  $E := \text{End}_{\mathbb{C}[[\zeta_d]]}(V^{\otimes d})$ . Then the canonical

alg. hom  $\mathcal{U}(\mathfrak{sl}_n) \rightarrow E$  is Surjective.

3.  $F$  gives an equiv.

$$\mathbb{C}[[\zeta_d]]\text{-fmod} \xrightarrow{\sim} E\text{-fmod} \subseteq \mathcal{U}(\mathfrak{sl}_n)\text{-fmod},$$

full sub

• quantum SW duality (Jimbo, '86)

$$\begin{array}{c} \mathcal{U}_q(\mathfrak{sl}_n): \text{quantum gp} \leftrightarrow \mathcal{H}_d(q): \text{Iwahori-Hecke alg.} \\ \downarrow q \rightarrow 1 \qquad \qquad \qquad \downarrow q \rightarrow 1 \\ \mathcal{U}(\mathfrak{sl}_n) \qquad \qquad \qquad \mathbb{C}[[\zeta_d]] \end{array}$$

• quantum affine SW duality

(Chari-Pressley, Cherednik, Ginzburg-Varchenko-Vasserot, around '95)

$\widehat{\mathcal{U}_q(\mathfrak{sl}_n)}$ : quantum affine alg.

$$\begin{array}{ccc} \downarrow q \rightarrow 1 & & \leftarrow \mathcal{H}_d^{\text{aff}}(q): \text{affine Hecke alg.} \\ \mathcal{U}(\mathfrak{sl}_n) & \leftrightarrow & \end{array}$$

$$\begin{array}{c} (\widehat{\mathfrak{sl}_n} = \mathfrak{sl}_n \otimes \mathbb{C}[t^{\pm 1}]) \qquad \qquad \qquad \downarrow q \rightarrow 1 \\ \mathbb{C}[[\zeta_d]] \times \mathbb{C}[t_1^{\pm 1}; \dots; t_d^{\pm 1}] \end{array}$$

- quantum SW duality (Jimbo, '86)

$U_q(\mathfrak{sl}_n)$ : quantum gp  $\leftrightarrow$   $H_d(q)$ : Iwahori-Hecke alg.

$$U(\mathfrak{sl}_n) \xrightarrow{q \rightarrow 1} \mathbb{C}[\mathfrak{S}_d] \xleftarrow{q \rightarrow 1}$$

- quantum affine SW duality

(Chari-Pressley, Cherednik, Ginzburg-Vayagno-Vasserot,  
around '95)

$U_q(\widehat{\mathfrak{sl}}_n)$ : quantum affine alg.

$$U(\mathfrak{sl}_n) \xrightarrow{q \rightarrow 1} \mathcal{H}_d^{\text{aff}}(q) : \text{affine Hecke alg.}$$

$$(\widehat{\mathfrak{sl}}_n = \mathfrak{sl}_n \otimes \mathbb{C}[t^{\pm 1}]) \quad \mathbb{C}[\mathfrak{S}_d] \times \mathbb{C}[t_1^{\pm 1}; \dots; t_n^{\pm 1}] \xrightarrow{q \rightarrow 1}$$

- Generalized quantum affine SW duality  
(Kang-Kashiwara-Kim, 18)

$g$ : simple Lie alg. (e.g.  $\mathfrak{sl}_n, \mathfrak{so}_n, \mathfrak{sp}_n, \dots$ )

$U_q(\widehat{g})$ : quantum affine alg. of general type

$$U(\widehat{g}) \xrightarrow{q \rightarrow 1} R(\beta) \downarrow$$

$R(\beta)$ : quiver Hecke alg.

$(U_q(\widehat{g}), R(\beta))$ -bimod.

→ functor from " $R(\beta)$ -fmod" to  $U_q(\widehat{g})$ -fmod

Main Thm

In certain special cases, this functor gives an equiv.  
between suitable full subcategories. ↴

◦ General quantum affine SW duality  
(Kang-Kashiwara-Kim, 18)

$\mathfrak{g}$ : simple Lie alg. (e.g.  $\mathfrak{sl}_n(\mathbb{C})$ ,  $\mathfrak{so}_{2n}(\mathbb{C})$ ,  $\mathfrak{sp}_{2n}(\mathbb{C})$ , ...)

$U_{\mathfrak{g}}(\hat{\mathfrak{g}})$ : quantum affine alg. of general type

$\downarrow g \rightarrow |$   
 $U(\hat{\mathfrak{g}})$   
 $R(\beta)$ : quiver Hecke alg.

$(U_{\mathfrak{g}}(\hat{\mathfrak{g}}), R(\beta))$ -bimod.

↪ functor from " $R(\beta)$ -fmod" to  $U_{\mathfrak{g}}(\hat{\mathfrak{g}})$ -fmod

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In certain special cases, this functor gives an equiv.  
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## Plan

§ 1 quantum affine alg.

§ 2 quiver Hecke alg.

§ 3 Kang-Kashiwara-Kim's construction of  
functor

§ 4 Main Thm

§ 5 proof (if time permits)

# Plan

§1 quantum affine alg.

§2 quiver Hecke alg.

§3 Kang-Kashiwara-Kim's construction of functor

§4 Main Thm

§5 proof (if time permits)

# §1 quantum affine alg.

$\mathfrak{g}$ : simple Lie alg. (e.g.  $\mathfrak{sl}_{n+1}(\mathbb{C})$ ,  $\mathfrak{so}_{2n+1}(\mathbb{C})$ ,  $\mathfrak{sp}_{2n}(\mathbb{C})$ ,  $\mathfrak{so}_{2n}(\mathbb{C})$ , ...)

type  $A_n \quad B_n \quad C_n \quad D_n$

fin. index set  
↓

$\mathfrak{g} = \langle e_i, f_i, h_i \mid i \in I \rangle$  Chevalley generators

$\hat{\mathfrak{g}} := \mathfrak{g} \otimes \mathbb{C}[t^{\pm 1}] \quad ([x \otimes f, y \otimes g] = [x, y] \otimes fg)$

$U_q(\hat{\mathfrak{g}})$ : quantum affine alg.

$\downarrow q \rightarrow 1$   
 $U(\hat{\mathfrak{g}})$

gen

$U(\hat{\mathfrak{g}}) \quad e_{i,k}, f_{i,k}, h_{i,k}$

$(i \in I, k \in \mathbb{Z})$

$$\begin{cases} \text{def. rel.} \\ \left[ h_i \otimes t^k, e_j \otimes t^m \right] = 2 \epsilon_{i,j} t^{k+m} \\ \left[ h_i, e_{i,m} \right] = \frac{g^{2m} - g^{-2m}}{m(g - g^{-1})} e_{i,k+m}, \text{etc.} \end{cases}$$

# §1 quantum affine alg.

$\mathfrak{g}$ : simple Lie alg. (e.g.  $\mathfrak{sl}_{n+1}(\mathbb{C})$ ,  $\mathfrak{so}_{2n+1}(\mathbb{C})$ ,  $\mathfrak{sp}_{2n}(\mathbb{C})$ ,  $\mathfrak{so}_{2n}(\mathbb{C})$ , ...).

type  $A_n$   $B_n$   $C_n$   $D_n$

fin. index set

$\mathfrak{g} = \langle e_i, f_i, h_i \mid i \in I \rangle$  Chevalley generators

$\hat{\mathfrak{g}} := \mathfrak{g} \otimes \mathbb{C}[t^{\pm 1}] \quad ([x \otimes f, y \otimes g] = [x, y] \otimes fg)$

(loop)

$U_q(\hat{\mathfrak{g}})$ : quantum affine alg.

$\downarrow q \rightarrow 1$   $(q \in \mathbb{C}^*: \text{not a root of 1})$

$U(\hat{\mathfrak{g}})$  gen

$U(\hat{\mathfrak{g}}) \in e_i \otimes t^k, f_i \otimes t^k, h_i \otimes t^k \quad (i \in I, k \in \mathbb{Z})$

def. rel.

$$[h_i \otimes t^k, e_j \otimes t^m] = 2e_j \otimes t^{k+m}$$

etc.

$U_q(\hat{\mathfrak{g}}) \in e_{i,k}, f_{i,k}, h_{i,k}$

$$\begin{aligned} & [h_{i,k}, e_{j,m}] \\ &= \frac{f^{2m} - f^{-2m}}{m(f - f^{-1})} e_{i,k+m}, \text{ etc.} \end{aligned}$$

## Properties

$U_q(\hat{\mathfrak{g}})$  is a Hopf alg.

i.e.  $\exists \Delta : U_q(\hat{\mathfrak{g}}) \rightarrow U_q(\hat{\mathfrak{g}}) \otimes U_q(\hat{\mathfrak{g}})$  coproduct

$\exists S : U_q(\hat{\mathfrak{g}}) \rightarrow U_q(\hat{\mathfrak{g}})^{\text{op}}$  antipode

satisfying certain conditions.

$\rightsquigarrow U_q(\hat{\mathfrak{g}})$ -fmod: rigid monoidal cat.

i.e.  $M, N \in U_q(\hat{\mathfrak{g}})$ -fmod

$\rightsquigarrow M \otimes N \in U_q(\hat{\mathfrak{g}})$ -fmod

$\circ \exists M^*:$  right dual.  $\underline{*M}:$  left dual, etc.

Rem  $U_q(\hat{\mathfrak{g}})$ -fmod is not semisimple  $\_\_$

## Properties

$U_f(\widehat{\mathfrak{g}})$  is a Hopf alg.

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## §2 quiver Hecke alg.

$\mathfrak{g}$ : simple Lie alg (or Kac-Moody Lie alg.) + additional data  
w/ index set  $I$

$\rightsquigarrow \{R(\beta)\}_{\beta \in \mathbb{Z}_{\geq 0}^I}$  quiver Hecke alg  
(or Khovanov-Lauda-Rouquier alg.)

(a family of alg. parametrized by  $\mathbb{Z}_{\geq 0}^I$ )

For  $\beta = (\beta_i)_{i \in I} \in \mathbb{Z}_{\geq 0}^I$  w/  $\sum_i \beta_i = m$ ,

set  $I^\beta := \{ \vec{i} = (i_1, \dots, i_m) \mid \#\{i_k = i\} = \beta_i \ (\forall i)\}$

$C[[\zeta_m]] \rtimes \left( \bigoplus_{\vec{i} \in I^\beta} C[x_1, \dots, x_m] \underbrace{e(\vec{i})}_{\substack{\hookdownarrow \\ \text{deform}}} \right)$   
 $\hookdownarrow$   $\left\{ \begin{array}{l} \text{deform} \\ \text{idempotent} \end{array} \right.$

$R(\beta) = \langle e(\vec{i}), x_k, T_\ell \mid \vec{i} \in I^\beta, 1 \leq k \leq m, 1 \leq \ell \leq m-1 \rangle$

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set  $I^\beta := \{\vec{i} = (i_1, \dots, i_m) \mid \#\{i_k = i\} = \beta_i \ (\forall i)\}$

$\mathbb{C}[\mathfrak{S}_m] \ltimes \left( \bigoplus_{\vec{i} \in I^\beta} \mathbb{C}[x_1, \dots, x_m] e(\vec{i}) \right)$

$\downarrow S_\vec{i}$   
{deform}

↑ idempotent

$R(\beta) = \langle e(\vec{i}), \chi_k, T_\ell \mid \vec{i} \in I^\beta, 1 \leq k \leq m, 1 \leq \ell \leq m-1 \rangle$

Ex.  $\mathfrak{g} = \mathfrak{sl}_{n+1}$  ( $I = \{1, 2, \dots, n\}$ )

$R(\beta) = \langle e(\vec{i}), \chi_k, T_\ell \mid \vec{i} \in I^\beta, 1 \leq k \leq m, 1 \leq \ell \leq m-1 \rangle$

$e(\vec{i})$ : orth. idemp. w/  $\sum_{\vec{i} \in I^\beta} e(\vec{i}) = 1$ ,

$\chi_k e(\vec{i}) = e(\vec{i}) \chi_k, T_\ell e(\vec{i}) = e(S_\ell(\vec{i})) T_\ell,$

$\chi_k \chi_\ell = \chi_\ell \chi_k, T_\ell^2 e(\vec{i}) = \begin{cases} 0 & (i_\ell = i_{\ell+1}) \\ \pm (\chi_\ell - \chi_{\ell+1}) e(\vec{i}) & (|i_\ell - i_{\ell+1}|=1) \\ e(\vec{i}) & (\text{o.w.}) \end{cases}$

$(T_\ell \chi_k - \chi_{S_\ell(k)} T_\ell) e(\vec{i}) = \begin{cases} \pm e(\vec{i}) & (l=k, i_\ell = i_{\ell+1}) \\ 0 & (\text{o.w.}) \end{cases}$

$T_k T_\ell = T_\ell T_k \ (|l-k| \geq 2)$

$(T_\ell T_{\ell+1} T_\ell - T_{\ell+1} T_\ell T_{\ell+1}) e(\vec{i}) = \begin{cases} \pm e(\vec{i}) & (i_\ell = i_{\ell+2} \mid i_{\ell+1} - i_{\ell+1} \mid = 1) \\ 0 & (\text{o.w.}) \end{cases}$

Ex.  $\mathcal{G} = \mathcal{A}_{n+1}$  ( $I = \{1, 2, \dots, n\}$ )

$$R(\beta) = \langle e(i), \chi_k, \tau_\ell \mid i \in I^\beta, 1 \leq k \leq m, 1 \leq \ell \leq m-1 \rangle$$

$e(i)$ : orth. idemp. w/  $\sum_{i \in I^\beta} e(i) = 1$ ,

$$\chi_k e(i) = e(i) \chi_k, \tau_\ell e(i) = e(s_\ell(i)) \tau_\ell,$$

$$\chi_k \chi_\ell = \chi_\ell \chi_k, \tau_\ell^2 e(i) = \begin{cases} 0 & (i_\ell = i_{\ell+1}) \\ \pm (\chi_\ell - \chi_{\ell+1}) & (|i_\ell - i_{\ell+1}|=1) \\ e(i) & (\text{o.w.}) \end{cases}$$

$$(\tau_\ell \chi_k - \chi_{s_\ell(k)} \tau_\ell) e(i) = \begin{cases} \pm e(i) & (l=k, i_\ell = i_{\ell+1}) \\ 0 & (\text{o.w.}) \end{cases}$$

$$\tau_k \tau_\ell = \tau_\ell \tau_k \quad (|l-k| \geq 2)$$

$$(\tau_\ell \tau_{\ell+1} \tau_\ell - \tau_{\ell+1} \tau_\ell \tau_{\ell+1}) e(i) = \begin{cases} \pm e(i) & (i_\ell = i_{\ell+2} \\ & \quad |i_{\ell+1} - i_{\ell+2}|=1) \\ 0 & (\text{o.w.}) \end{cases}$$

## Properties

•  $R(\beta)$ :  $\mathbb{Z}$ -graded alg

•  $\beta_1, \beta_2 \in \mathbb{Z}_{\geq 0}^I, M \in R(\beta_1)$ -fg mod,  $N \in R(\beta_2)$ -fg mod

$\rightsquigarrow M \circ N := R(\beta_1 + \beta_2) \otimes_{R(\beta_1) \otimes R(\beta_2)} (M \otimes N)$  convolution product

$\therefore \bigoplus_{\beta \in \mathbb{Z}_{\geq 0}^I} R(\beta)$ -fg mod : monoidal cat.

$\rightsquigarrow K\left(\bigoplus_{\beta \in \mathbb{Z}_{\geq 0}^I} R(\beta)\right)$  has a  $\mathbb{Z}[q^{\pm 1}]$ -alg  
Grothendieck group

structure via  $\circ [M] \cdot [N] = [M \circ N]$

$\circ g[M] = \underline{[M[1]]}$

grading shift

## Properties

- $R(\beta)$ :  $\mathbb{Z}$ -graded alg.
  - $\beta_1, \beta_2 \in \mathbb{Z}_{\geq 0}^I$ ,  $M \in R(\beta_1)$ -fg mod,  $N \in R(\beta_2)$ -fg mod
  - $M \circ N := R(\beta_1 + \beta_2) \underset{R(\beta_1) \otimes R(\beta_2)}{\otimes} (M \otimes N)$  convolution product
  - $\bigoplus_{\beta \in \mathbb{Z}_{\geq 0}^I} R(\beta)$ -fgmod : monoidal cat.
- $\rightsquigarrow$  Grothendieck ring  $K\left(\bigoplus_{\beta \in \mathbb{Z}_{\geq 0}^I} R(\beta)\right)$  has a  $\mathbb{Z}[g^{\pm 1}]$ -alg.

structure via

- $[M] \cdot [N] = [M \circ N]$
- $g[M] = \underline{[M[1]]}$  grading shift

## Thm

1. (Khovanov-Lauda, Rouquier)

$$K\left(\bigoplus_{\beta} R(\beta)\right) \cong \mathcal{C}_Z^-(g)^V \text{ as } \mathbb{Z}[g^{\pm 1}]\text{-alg.}$$

$\cap$   $\mathbb{Z}[g^{\pm 1}]$ -subalg.  
 $\mathcal{C}_g^-(g)$

2. (Varagnolo-Vasserot, Rouquier)

If  $g$  is simply-laced (e.g.  $A_n$ ,  $D_{2n}$ ),  
the above isom sends the classes of self-dual  
simples to upper global base

Thm

1. (Khovanov-Lauda-Rouquier)

$$K(\bigoplus_{\beta} R(\beta)\text{-fgmod}) \cong U_{\mathbb{Z}}^-(\mathfrak{g})^\vee \text{ as } \mathbb{Z}[\mathfrak{g}^{\pm 1}]\text{-alg.}$$

$\cap \mathbb{Z}[\mathfrak{g}^{\pm 1}]$ -subalg.  
 $U_{\mathbb{Z}}(\mathfrak{g})$

2. (Varagnolo-Vasserot, Rouquier)

If  $\mathfrak{g}$  is simply-laced (e.g.  $A_n, D_{2n}$ ),

the above isom sends the classes of self-dual simples to upper global base.

§3. Kang-Kashiwara-Kim's construction of functors

$\mathfrak{g}$ : simple Lie alg.  $\rightsquigarrow U_{\mathfrak{g}}(\widehat{\mathfrak{g}})$ : quantum affine alg.

Let  $J$  be a set, and  $\{V_j\}_{j \in J}$  a family of

real simples in  $U_{\mathfrak{g}}(\widehat{\mathfrak{g}})$ -fmod.

(f.d. simple  $U_{\mathfrak{g}}(\widehat{\mathfrak{g}})$ -mod is real  $\stackrel{\text{def}}{\Leftrightarrow} V \otimes V$ : simple)

Step 1) From  $\{V_j\}_{j \in J}$ , determine a quiver Hecke alg.  $\{R(\beta)\}$

§3. Kang-Kashiwara-Kim's construction of  
functors  
 $\mathfrak{g}$ : simple Lie alg.  $\rightsquigarrow \mathcal{U}_q(\widehat{\mathfrak{g}})$ : quantum affine alg.

Let  $J$  be a set, and  $\{V_j\}_{j \in J}$  a family of  
real simples in  $\mathcal{U}_q(\widehat{\mathfrak{g}})$ -fmod.

(simple f.d. simple  $\mathcal{U}_q(\widehat{\mathfrak{g}})$ -mod is real  $\stackrel{\text{def}}{\Leftrightarrow} V \otimes V$ : simple)

Step 1 From  $\{V_j\}_{j \in J}$ , determine a quiver Hecke  
alg.  $\{R(\beta)\}$

Let  $i, j \in J$ .

Fact  $V_i \otimes V_j \xrightarrow{\sim} V_j \otimes V_i$  is not necessarily true,  
but  $\exists R : V_i \otimes V_j(z) \xrightarrow{\sim} V_j(z) \otimes V_i$   
normalized R-matrix

Let  $d_{ij} \in \mathbb{Z}_{\geq 0}$  be the order of the pole of  $R$   
at  $z=1$

Rem.  $d_{ij}=0 \Leftrightarrow V_i \otimes V_j \xrightarrow{\sim} V_j \otimes V_i$

Define a graph  $\mathcal{Q}_w$ /

- vertices  $J$

- $d_{ij}$  edges between  $i \& j$

$\overset{d_{ij}}{i \rightharpoonup j}$

Let  $i, j \in J$ .

Fact  $V_i \otimes V_j \simeq V_j \otimes V_i$  is not necessarily true,

but  $\exists R : V_i \otimes V_j(z) \xrightarrow{\sim} V_j(z) \otimes \underline{V_i}$ ,  
normalized R-matrix

Let  $d_{ij} \in \mathbb{Z}_{\geq 0}$  be the order of the pole of  $R$   
at  $z=1$

Rem  $d_{ij}=0 \Leftrightarrow V_i \otimes V_j \simeq V_j \otimes \underline{V_i}$

Define a graph  $\Omega$  w/

- vertices  $J$

- $d_{ij}$  edges between  $i \& j$

$$i \overset{d_{ij}}{\equiv} j$$

Let  $g_i$  be the Lie alg. whose Dynkin diagram is  $\Omega$

e.g.)  $\Omega$  

$\Rightarrow \{R(\beta)\}_{\beta \in \mathbb{Z}_{\geq 0}^J}$ : quiver Hecke alg. assoc. w/  $g_i$

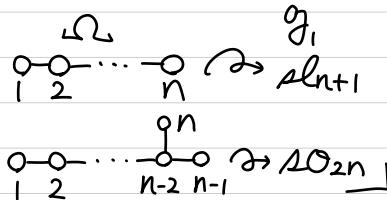
(Step 2) For each  $\beta \in \mathbb{Z}_{\geq 0}^J$ , construct a  $(U_g(\hat{g}), R(\beta))$ -bimod.  $\hat{V}^{\otimes \beta}$

For  $j \in J$ , set  $\hat{V}_j := V_j[z^{\pm 1}] \otimes \mathbb{C}[[w]] / \mathbb{C}[z^{\pm 1}]$  ( $w = z-1$ )

$U_g(\hat{g}) \curvearrowright \hat{V}^{\otimes \beta} := \bigoplus_{i \in J^\beta} \hat{V}_{i_1} \hat{\otimes} \cdots \hat{\otimes} \hat{V}_{i_m} \hookrightarrow R(\beta)$   
via normalized R-matrix

Let  $\mathfrak{g}_i$  be the Lie alg. whose Dynkin diagram is  $\Omega$

e.g.)



$\rightarrow \{R(\beta)\}_{\beta \in \mathbb{Z}_{\geq 0}^J}$ : quiver Hecke alg. assoc. w/  $\mathfrak{g}_i$

(Step 2) For each  $\beta \in \mathbb{Z}_{\geq 0}^J$ , construct a  $(U_f(\widehat{\mathfrak{g}}), R(\beta))$ -bimod.  $\widehat{V}^{\otimes \beta}$

For  $j \in J$ , set  $\widehat{V}_j := V_j[z^{\pm 1}] \otimes \mathbb{C}[[w]]$  ( $w = z - 1$ )

$U_f(\widehat{\mathfrak{g}}) \curvearrowright \widehat{V}^{\otimes \beta} := \bigoplus_{i \in J^\beta} \widehat{V}_{i_1} \hat{\otimes} \cdots \hat{\otimes} \widehat{V}_{i_m}$  ↪  $R(\beta)$   
via normalized R-matrix

$\therefore \widehat{V}^{\otimes \beta} : (U_f(\widehat{\mathfrak{g}}), R(\beta))$ -bimod.

$R(\beta)$ -fmod<sub>0</sub> := { $M \in R(\beta)$ -fmod |  $\lambda_i$  acts nilpotently on  $M$  ( $\forall i$ )}

$\subseteq R(\beta)$ -fmod  
full sub

$J_\beta : R(\beta)$ -fmod<sub>0</sub>  $\ni M \mapsto \widehat{V}^{\otimes \beta} \otimes_{R(\beta)} M \in U_f(\widehat{\mathfrak{g}})$ -fmod.

$\Rightarrow J = \bigoplus_{\beta \in \mathbb{Z}_{\geq 0}^J} J_\beta : \bigoplus_{\beta} R(\beta)$ -fmod<sub>0</sub>  $\rightarrow U_f(\widehat{\mathfrak{g}})$ -fmod

Generalized quantum affine SW duality functor

$\therefore \widehat{V}^{\otimes \beta} : (\mathcal{U}_g(\widehat{\mathfrak{g}}), R(\beta))$ -bimod.

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$\mathcal{F}_{\beta} : R(\beta)$ -fmod<sub>0</sub>  $\ni M \mapsto \widehat{V}^{\otimes \beta}_{R(\beta)} \otimes M \in \mathcal{U}_g(\widehat{\mathfrak{g}})$ -fmod.

$\Rightarrow \mathcal{F} = \bigoplus_{\beta \in \mathbb{Z}_{\geq 0}} \mathcal{F}_{\beta} : \bigoplus_{\beta} R(\beta)$ -fmod<sub>0</sub>  $\rightarrow \mathcal{U}_g(\widehat{\mathfrak{g}})$ -fmod

Generalized quantum affine SW duality functor

Thm (KKK)

1.  $\mathcal{F}$  is a monoidal functor

(In particular,  $\mathcal{F}(M \circ N) \cong \mathcal{F}(M) \otimes \mathcal{F}(N)$ )

2. If  $\{R(\beta)\}$  is of finite type (i.e.  $\mathfrak{g}_i$  is  $\mathbb{R}(\beta)$  a simple Lie alg.),  $\mathcal{F}$  is an exact functor.

Rem (Historical background)

affine Hecke alg.  $H_d^{\text{aff}}(g)$  categorifies  $\mathcal{U}(\mathfrak{sl}_n)$   
 ↓ generalize (LLT-Ariki theory)  
 quiver Hecke alg.  $R(\beta)$   $\longleftrightarrow$   $\mathcal{U}_g(\widehat{\mathfrak{g}})$

On the other hand,  $\mathcal{U}_g(\widehat{\mathfrak{sl}}_n) \longleftrightarrow H_d^{\text{aff}}(g)$   
 generalized?  $\curvearrowright \mathcal{U}_g(\widehat{\mathfrak{g}}) \longleftrightarrow R(\beta)$

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(LLT-Ariki theory)

quantum

$\longleftrightarrow$

$\mathcal{U}_q(\widehat{g})$

On the other hand,  $\mathcal{U}_q(\widehat{\mathfrak{sl}_n}) \xleftarrow[\text{affine Sh}]{\text{generalized?}} H_d^{\text{aff}}(q)$   
 $\xrightarrow{\text{generalized?}} \mathcal{U}_q(\widehat{g}) \longleftrightarrow R(\beta)$

## §4. Main Thm

\* Our main thm will say that the functor  $\mathcal{F}$  gives an equiv.  $\mathcal{F}: \bigoplus_{\beta} R(\beta)\text{-fmod}_0 \xrightarrow{\sim} \mathcal{C}_0 \subseteq \mathcal{U}_q(\widehat{g})\text{-fmod}$ .  
for a certain full subcat.  $\mathcal{C}_0$ .

$V(i, \alpha)$  ( $i \in I, \alpha \in \mathbb{C}^\times$ ): fundamental modules  
(a family of simples in  $\mathcal{U}_q(\widehat{g})\text{-fmod}$ )

Fact (Chari-Pressley)

$\mathcal{U}_q(\widehat{g})\text{-fmod} \leftarrow$  very huge

$= \langle V(i, \alpha) \mid i \in I, \alpha \in \mathbb{C}^\times \rangle_{\otimes, \text{subquot}, \text{ext}}$

## §4. Main Thm

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$\cup_f(\hat{g})$ -fmod  $\leftarrow$  very huge

$$= \langle V(i, a) \mid i \in I, a \in \mathbb{C}^\times \rangle_{\otimes, \text{subquot, ext.}}$$

Def(Hernandez-Leclerc)

Take  $s_i, r_i \in \mathbb{Z}_{>0}$  suitably for each  $i \in I$ , and set  $\widehat{I} := \{(i, l) \mid l \in s_i + r_i \mathbb{Z}\} \subseteq I \times \mathbb{Z}$ .

Then define  $\mathcal{C}_\mathbb{Z} := \langle V(i, g^l) \mid (i, l) \in \widehat{\mathbb{I}} \rangle_{\otimes, \text{subquot}, \text{ext}}$

Ex.  $g_j = \text{sln}_j \quad (I = \{1, \dots, n\})$

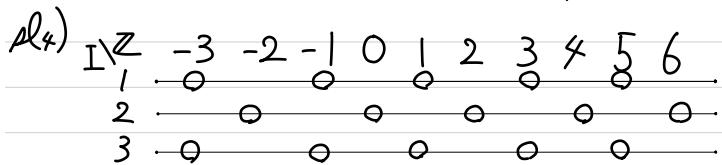
## Def (Hernandez-Leclerc)

Take  $r_i \in \mathbb{Z}_{\geq 0}$  &  $0 \leq s_i < r_i$  ( $i \in I$ ) suitably, and set  $\hat{I} := \{(i, l) \mid l \in s_i + r_i \mathbb{Z}\} \subseteq I \times \mathbb{Z}$ .

Then define  $\mathcal{C}_Z := \langle V(i, g^l) \mid (i, l) \in \hat{I} \rangle_{\otimes, \text{subquot}, \text{ext}}$   
 Hernandez-Leclerc  
 subcat.

Ex.  $g = sl_{n+1}$  ( $I = \{1, \dots, n\}$ )

$$\rightsquigarrow \hat{I} = \{(i, l) \mid l \in i + 2\mathbb{Z}\}$$



Prop. Any simple  $M \in U_f(\widehat{g})$ -fmod is expressed

$$\text{as } M \xrightarrow{\sim} \bigotimes_{b \in \mathbb{C}^\times / g\mathbb{Z}} \gamma_b^* M_b \text{ w/ } M_b \in \mathcal{C}_Z$$

↑ an auto on  $U_f(\widehat{g})$

\* Hence  $\mathcal{C}_Z$  has most information of  $U_f(\widehat{g})$ -fmod.  
 ↪ still big

Fact

For  $\forall (i, l) \in \hat{I}$ ,  $V(i, g^l)^* \simeq V(i', g^{l'})$  for some  $(i', l') \in \hat{I}$   
 $\rightsquigarrow D, D^{-1}: \hat{I} \rightarrow \hat{I}$

D( $i, l$ ) ↴

Def For a suitable fundamental domain  $\hat{I}_0 \subseteq \hat{I}$

under the action of  $D^{\mathbb{Z}}$ , set

$\mathcal{C}_0 := \langle V(i, g^l) \mid (i, l) \in \hat{I}_0 \rangle_{\otimes, \text{subquot}, \text{ext}}$  core subcat. of  $\mathcal{C}_Z$

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$$D(i, l)$$

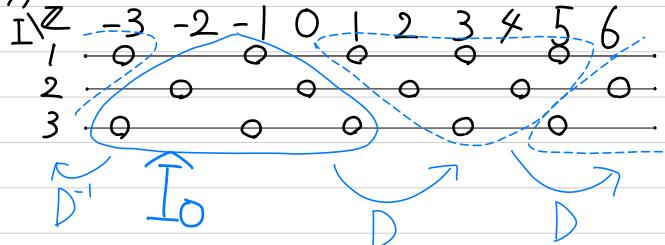
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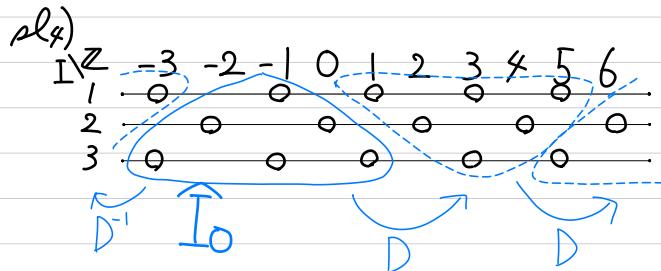
$\rho(g)$



Rem

$\mathcal{C}_{\mathbb{Z}} = \langle V(i, g^l) \mid (i, l) \in \hat{I}_0 \rangle_{\mathbb{Z}}$ , subquot, ext, left/right dual

Ex.



Rem

$$\mathcal{C}_{\mathbb{Z}} = \langle V(i, g^l) | (i, l) \in \hat{I}_0 \rangle_{\otimes, \text{suquot, ext, left/right dual}}$$

Thm (KKK, Kashimara-Oh, Oh-Scribshaw)

1. By applying the KKK construction to the family of simples  $\{V(i, g^l) | (i, l) \in \hat{J} \subseteq \hat{I}_0\}$ , a functor  $\mathcal{F}: \bigoplus_{\beta} R(\beta)\text{-fmod}_0 \rightarrow \mathcal{C}_0 \subseteq U_g(g)^{\text{suitable subset}}$  is obtained.

Here the types of  $\{R(\beta)\}$  is as follows:

$g$	$\text{pl}_{n+1}(A_n)$	$\text{so}_{2n+1}(B_n)$	$\text{sp}_{2n}(C_n)$	$\text{so}_{2n}(D_n)$	$E_n$	$F_4$	$G_2$
$\{R(\beta)\}$	"	$\text{pl}_{n+1}(A_{2n})$	$\text{so}_{2n+2}(D_{n+1})$	"	"	$E_6$	$D_4$

Moreover,  $\mathcal{F}$  is monoidal & exact.

<sup>follows from the previous thm.</sup>

2.  $\mathcal{F}$  induces a bij. between simples of  $\bigoplus_{\beta} R(\beta)\text{-fmod}_0$  &  $\mathcal{C}_0$

Thm (KKK, Kashiwara-Oh, Oh-Scriabin)

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suitable subset

Here the types of  $\{R(\beta)\}$  is as follows:

$g$	$p_{l+1}(A_n)$	$2O_{2n+1}(B_n)$	$4P_{2n}(C_n)$	$2O_{2n}(D_n)$	$E_n$	$F_4$	$G_2$
$\{R(\beta)\}$	"	$p_{l+1}(A_{2n})$	$2O_{2n+2}(D_{2n})$	"	"	$E_6$	$D_4$

Moreover,  $J$  is monoidal & exact.

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Thm (Fujita: ADE type, N: general type)

(containing twisted types)

$J: \bigoplus_{\beta} R(\beta)\text{-fmod}_o \rightarrow \mathcal{C}_o$  is an equivalence

Cor equivalence of  $\mathcal{C}_o$ 's over different  $U_g(\tilde{g})$

$$\text{e.g. } \mathcal{C}_o^{d_{2n+1}} \xrightarrow{\sim} \mathcal{C}_o^{2O_{2n+1}}, \quad \mathcal{C}_o^{4P_{2n}} \xrightarrow{\sim} \mathcal{C}_o^{2O_{2n+2}}$$

$\uparrow^2 \bigoplus_{\beta} R^{d_{2n+1}}(\beta)\text{-fmod}_o \quad \uparrow^2 \bigoplus_{\beta} R^{2O_{2n+2}}(\beta)\text{-fmod}_o$

Problem Construct directly the equiv. in Cor

Thm (Fujita: ADE type, N: general type)

$\mathcal{F}: \bigoplus_{\beta} R(\beta)\text{-fmod}_0 \rightarrow \mathcal{C}_0$  is an equivalence

Cor equivalence of  $\mathcal{C}_0$ 's over different  $U_{\beta}(\hat{\mathcal{G}})$

e.g.)  $\mathcal{C}_0^{\oplus l_{2n+1}} \xrightarrow{\sim} \mathcal{C}_0^{20_{2n+1}}$ ,  $\mathcal{C}_0^{\oplus p_{2n}} \xrightarrow{\sim} \mathcal{C}_0^{20_{2n+2}}$

$\bigoplus_{\beta} R(\beta)\text{-fmod}_0$   $\bigoplus_{\beta} R(\beta)\text{-fmod}_0$

Problem Construct directly the equiv. in Cor,

§5 Idea of the proof of the main thm  $\mathcal{F}: \bigoplus_{\beta} R(\beta)\text{-fmod}_0 \xrightarrow{\sim} \mathcal{C}_0$

Fact  $\exists$  block dec.  $\mathcal{C}_0 = \bigoplus_{\beta} \mathcal{C}_{0,\beta}$  s.t.  $\mathcal{F}_{\beta}: R(\beta)\text{-fmod}_0 \rightarrow \mathcal{C}_{0,\beta}$

$\therefore$  Enough to show  $\mathcal{F}_{\beta}: R(\beta)\text{-fmod}_0 \xrightarrow{\sim} \mathcal{C}_{0,\beta}$  ( $\forall \beta$ )

Obstruction  $R(\beta)\text{-fmod}_0$  &  $\mathcal{C}_{0,\beta}$  are "too small"

to apply homological methods (e.g. not enough proj.)

Solution find larger cat.  $\widehat{R(\beta)\text{-fmod}_0}$  &  $\widehat{\mathcal{C}_{0,\beta}}$   
(completion)  
and prove  $\widehat{R(\beta)\text{-fmod}_0} \xrightarrow{\sim} \widehat{\mathcal{C}_{0,\beta}}$  instead.

§5 Idea of the proof of the main thm

$$f_\beta : \bigoplus_{\beta} R(\beta)\text{-fmod}_0 \xrightarrow{\sim} \mathcal{C}_0$$

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$\widehat{R(\beta)} :=$  the completion of  $R(\beta)$  along the  $\mathbb{Z}$ -grading  
(c.f.  $\mathbb{C}[z] \sim \widehat{\mathbb{C}[z]}$ )

Consider  $\widehat{R(\beta)\text{-mod}} \supseteq R(\beta)\text{-fmod}_0$   
(cat. of finitely generated mod)

How to define  $\widehat{\mathcal{C}_{0,\beta}}$ ? It is difficult to complete

$U_f(\beta)$  since  $\mathcal{C}_{0,\beta}$  is quite small!

Rem In the previous pf of type ADE by Fujita,

$\widehat{\mathcal{C}_{0,\beta}}$  is constructed using geometrical method using quiver var.

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var.

Set  $\mathcal{E} := \text{End}_{\widehat{R(\beta)}}(\widehat{V}^{\otimes \beta})$ , and set  $\widehat{\mathcal{C}}_{0,\beta} := \mathcal{E}\text{-mod}_{\text{I.U}}$ .  
 $\mathcal{C}_{0,\beta} \cong \mathcal{E}\text{-fmod}$

By showing  $\widehat{R(\beta)}\text{-mod} \xrightarrow{\sim} \mathcal{E}\text{-mod}$ , we finally prove  
 $R(\beta)\text{-fmod} \xrightarrow{\sim} \mathcal{C}_{0,\beta}$

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Thank you for your concentration!